

United States Court of Appeals for the Second Circuit
Thurgood Marshall U.S. Courthouse
40 Foley Square
New York, NY 10007

ROBERT A. KATZMANN
CHIEF JUDGE

CATHERINE O'HAGAN WOLFE
CLERK OF COURT

Date: December 20, 2013
Docket #: 13-3539cv
Short Title: In Re: Citigroup Inc. Securit

DC Docket #: 07-cv-9901
DC Court: SDNY (NEW YORK
CITY) DC Docket #: 07-cv-9901
DC Court: SDNY (NEW YORK CITY)
DC Judge: Stein

NOTICE OF DEFECTIVE FILING

On December 19, 2013 the Motion to dismiss was submitted in the above referenced case.
The document does not comply with the FRAP or the Court's Local Rules for the following reason(s):

- _____ Failure to submit acknowledgment and notice of appearance (*Local Rule 12.3*)
- _____ Failure to file the Record on Appeal (*FRAP 10, FRAP 11*)
- _____ Missing motion information statement (*T-1080 - Local Rule 27.1*)
- _____ Missing supporting papers for motion (e.g, affidavit/affirmation/declaration) (*FRAP 27*)
- _____ Insufficient number of copies (*Local Rules: 21.1, 27.1, 30.1, 31.1*)
- _____ Improper proof of service (*FRAP 25*)
 - _____ Missing proof of service
 - _____ Served to an incorrect address
 - _____ Incomplete service (*Anders v. California 386 U.S. 738 (1967)*)
- _____ Failure to submit document in digital format (*Local Rule 25.1*)
- _____ Not Text-Searchable (*Local Rule 25.1, Interim Local Rules 25.2*), click [here](#) for instructions on how to make PDFs text searchable
- _____ Failure to file appendix on CD-ROM (*Local Rule 25.1, Interim Local Rules 25.2*)
- _____ Failure to file special appendix (*Local Rule 32.1*)
- _____ Defective cover (*FRAP 32*)
 - _____ Incorrect caption (*FRAP 32*)
 - _____ Wrong color cover (*FRAP 32*)
 - _____ Docket number font too small (*Local Rule 32.1*)
- _____ Incorrect pagination, click [here](#) for instructions on how to paginate PDFs (*Local Rule 32.1*)
- _____ Incorrect font (*FRAP 32*)
- _____ Oversized filing (*FRAP 27 (motion), FRAP 32 (brief)*)
- _____ Missing Amicus Curiae filing or motion (*Local Rule 29.1*)
- _____ Untimely filing

__XX__ Incorrect Filing Event - NOTE: When refileing motion, please select "to withdraw appeal" motion relief.

__XX__ Other: Must be filed in lead case # 13-3531. You may spread motion to consolidated case number while filing in the lead case.

Please cure the defect(s) and resubmit the document, with the required copies if necessary, no later than **December 23, 2013**. The resubmitted documents, if compliant with FRAP and the Local Rules, will be deemed timely filed.

Failure to cure the defect(s) by the date set forth above will result in the document being stricken. An appellant's failure to cure a defective filing may result in the dismissal of the appeal.

Inquiries regarding this case may be directed to 212-857-8623.